

# Computer Graphics

CSE 167 [Win 24], Lectures 16, 17:

## Nuts and bolts of Ray Tracing

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<http://viscomp.ucsd.edu/classes/cse167/wi24>

Acknowledgements: Thomas Funkhouser and Greg Humphreys

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## To Do

- START EARLY on HW 4
- Milestone is due on Mar 8

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## Outline in Code

```
Image Raytrace (Camera cam, Scene scene, int width, int height)
{
    Image image = new Image (width, height) ;
    for (int i = 0 ; i < height ; i++)
        for (int j = 0 ; j < width ; j++) {
            Ray ray = RayThruPixel (cam, i, j) ;
            Intersection hit = Intersect (ray, scene) ;
            image[i][j] = FindColor (hit) ;
        }
    return image ;
}
```

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Heckbert's Business Card Ray Tracer

```

typedef struct double(x,y,z){vec U,black,amb={0.,0.,0.2,0.02};}struct sphere{vec cen,color;
double rad,kd,ks,kt,klir};s.'best',sp[0]=6.,5.1,1.,1.,9.,05.,25.,0.1,7.,1.,-8.,-5.1,5.,2.1,
7.,3.,0...05,1.2,1...8.,-5.1,8.,1.,3.,7.,0...1,2.3,6.,15.,1...8.,1.,7...0.,0.,6.1,5...3.,-3.1,
8.,1.,5...0.,0.,5.1,5.,1.5,1.5;double u,b,tmn,sqr[1],tan[1];double vdot(A,B){vec A,B;return A.x
* B.x+A.y*B.y+A.z*B.z;vec vcomb(a,B){double a;vec A,B;A.x+=a;A.y+=a;A.z+=a;A.z
=return B;vec vunit(U){vec A{return vcomb(1./sqrt(dot(A,A)),A,black);}struct sphere*intersect
(P,D){vec P;double t0,tmin=1e30;sp.sph=5;while(sph>t0){double b=vdot(D,U,vcomb(1.,P,sph>cen));
u=b*t0-vdot(U)+sph*rad;sph>rad=u-0.75*sqrt(u);t1=31.;u=b*t1-7.25*sqrt(u);tmn=t1=1e-7&
u<tmn?best:s;u:tmn;return best;}}vec trace(level,P,D){vec P;double d,eta,etav,N,color;
struct sphere*�; if(!level){return black;}if(sph==P.D){else return amb;color=amb;eta=d;
sph>=vdot(D,N)=vunit(vcomb(1.,P==vcomb(mini,D.P),s>cen));if((D.N)=vcomb(-1.,N.black),
eta=1/eta,d=-d;l=sph+5;while(l>-sph){if((e.l->kl)*vdot(N,U=vunit(vcomb(-1.,P.l>cen))))>0&
&intersect(P,U)=color=vcomb(e.l->color,Color);U=>color;Color.y=U;x=Color.y=U;y=Color.z
=U;z=e.l->eta*sqrt(1.-d*d);return vcomb(s->kt,>0?trace(level,P,vcomb(e.l,D,vcomb(e.l,
sqr[1],N.black)));black,vcomb(s->ks,trace(level,P,vcomb(2.*D.N)),vcomb(s->kd,color,vcomb
(s->kl,U,black));)main();printf("%d %d",32,32);while(yx>32*32){U=x*y/32-32/2,U=z*32-2/2,
yx+=32,U=y/32-32/(2*32*115.94151590261),U=vcomb(255.,trace(3,black,vunit(U)),black),printf
("%f.%f",0.0f,0.0f,U);}/minray!'/}

```

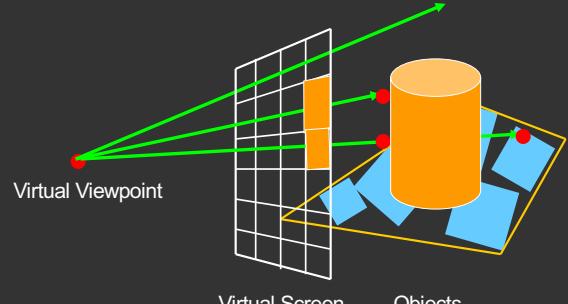
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## Outline

- Camera Ray Casting (choose ray directions)
- Ray-object intersections
- Ray-tracing transformed objects
- Lighting calculations
- Recursive ray tracing

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## Ray Casting



## Multiple inheritance: class inheritance (as does Olsen GI)

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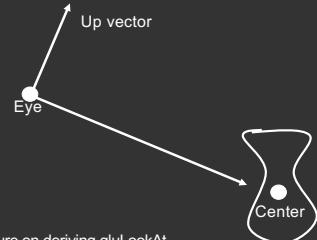
## Finding Ray Direction

- Goal is to find ray direction for given pixel  $i$  and  $j$
- Many ways to approach problem
  - Objects in world coord, find dirn of each ray (we do this)
  - Camera in canonical frame, transform objects (OpenGL)
- Basic idea
  - Ray has origin (camera center) and direction
  - Find direction given camera params and  $i$  and  $j$
- Camera params as in gluLookAt
  - Lookfrom[3], LookAt[3], up[3], fov

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## Similar to gluLookAt derivation

- gluLookAt(eyex, eyey, eyez, centerx, centery, centerz, upx, upy, upz)
- Camera at eye, looking at center, with up direction being up



From earlier lecture on deriving gluLookAt

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## Constructing a coordinate frame?

We want to associate  $w$  with  $a$ , and  $v$  with  $b$

- But  $a$  and  $b$  are neither orthogonal nor unit norm
- And we also need to find  $u$

$$w = \frac{a}{\|a\|}$$

$$u = \frac{b \times w}{\|b \times w\|}$$

$$v = w \times u$$

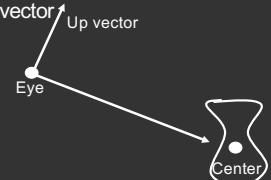
From basic math lecture - Vectors: Orthonormal Basis Frames

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## Camera coordinate frame

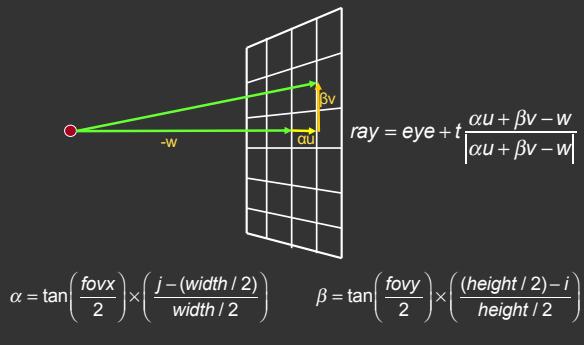
$$w = \frac{a}{\|a\|} \quad u = \frac{b \times w}{\|b \times w\|} \quad v = w \times u$$

- We want to position camera at origin, looking down  $-Z$  dim
- Hence, vector  $a$  is given by **eye - center**
- The vector  $b$  is simply the **up vector**



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## Canonical viewing geometry



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## Outline

- Camera Ray Casting (choosing ray directions)
- Ray-object intersections*
- Ray-tracing transformed objects
- Lighting calculations
- Recursive ray tracing

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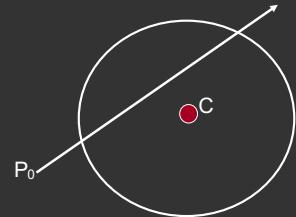
## Outline in Code

```
Image Raytrace (Camera cam, Scene scene, int width, int height)
{
    Image image = new Image (width, height) ;
    for (int i = 0 ; i < height ; i++)
        for (int j = 0 ; j < width ; j++) {
            Ray ray = RayThruPixel (cam, i, j) ;
            Intersection hit = Intersect (ray, scene) ;
            image[i][j] = FindColor (hit) ;
        }
    return image ;
}
```

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## Ray-Sphere Intersection

$$\begin{aligned} ray &\equiv \vec{P} = \vec{P}_0 + \vec{P}_1 t \\ sphere &\equiv (\vec{P} - \vec{C}) \cdot (\vec{P} - \vec{C}) - r^2 = 0 \end{aligned}$$



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## Ray-Sphere Intersection

$$\begin{aligned} ray &\equiv \vec{P} = \vec{P}_0 + \vec{P}_1 t \\ sphere &\equiv (\vec{P}_0 + \vec{P}_1 t - \vec{C}) \cdot (\vec{P}_0 + \vec{P}_1 t - \vec{C}) - r^2 = 0 \end{aligned}$$

Substitute

$$\begin{aligned} ray &\equiv \vec{P} = \vec{P}_0 + \vec{P}_1 t \\ sphere &\equiv (\vec{P}_0 + \vec{P}_1 t - \vec{C}) \cdot (\vec{P}_0 + \vec{P}_1 t - \vec{C}) - r^2 = 0 \end{aligned}$$

Simplify

$$t^2(\vec{P}_1 \cdot \vec{P}_1) + 2t \vec{P}_1 \cdot (\vec{P}_0 - \vec{C}) + (\vec{P}_0 - \vec{C}) \cdot (\vec{P}_0 - \vec{C}) - r^2 = 0$$

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## Ray-Sphere Intersection

$$t^2(\vec{P}_1 \cdot \vec{P}_1) + 2t \vec{P}_1 \cdot (\vec{P}_0 - \vec{C}) + (\vec{P}_0 - \vec{C}) \cdot (\vec{P}_0 - \vec{C}) - r^2 = 0$$

Solve quadratic equations for t

- 2 real positive roots: pick smaller root
- Both roots same: tangent to sphere
- One positive, one negative root: ray origin inside sphere (pick + root)
- Complex roots: no intersection (check discriminant of equation first)



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## Ray-Sphere Intersection

- Intersection point:  $ray \equiv \vec{P} = \vec{P}_0 + \vec{P}_1 t$
- Normal (for sphere, this is same as coordinates in sphere frame of reference, useful other tasks)

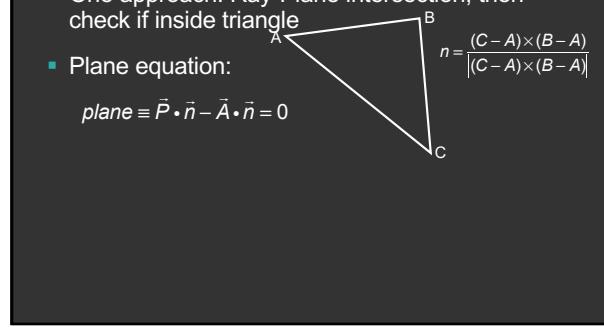
$$normal = \frac{\vec{P} - \vec{C}}{|\vec{P} - \vec{C}|}$$

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## Ray-Triangle Intersection

- One approach: Ray-Plane intersection, then check if inside triangle
- Plane equation:

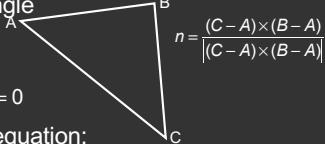
$$plane \equiv \vec{P} \cdot \vec{n} - \vec{A} \cdot \vec{n} = 0$$



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## Ray-Triangle Intersection

- One approach: Ray-Plane intersection, then check if inside triangle



$$n = \frac{(C-A) \times (B-A)}{|(C-A) \times (B-A)|}$$

- Plane equation:

$$\text{plane} \equiv \vec{P} \cdot \vec{n} - \vec{A} \cdot \vec{n} = 0$$

- Combine with ray equation:

$$\begin{aligned} \text{ray} &\equiv \vec{P} = \vec{P}_0 + \vec{P}_1 t \\ (\vec{P}_0 + \vec{P}_1 t) \cdot \vec{n} &= \vec{A} \cdot \vec{n} \end{aligned}$$

$$t = \frac{\vec{A} \cdot \vec{n} - \vec{P}_0 \cdot \vec{n}}{\vec{P}_1 \cdot \vec{n}}$$

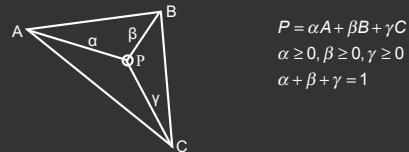
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## Ray inside Triangle

- Once intersect with plane, still need to find if in triangle

- Many possibilities for triangles, general polygons (point in polygon tests)

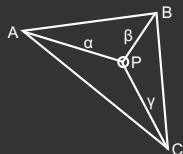
- We find parametrically [barycentric coordinates]. Also useful for other applications (texture mapping)



$$\begin{aligned} P &= \alpha A + \beta B + \gamma C \\ \alpha, \beta, \gamma &\geq 0 \\ \alpha + \beta + \gamma &= 1 \end{aligned}$$

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## Ray inside Triangle



$$\begin{aligned} P &= \alpha A + \beta B + \gamma C \\ \alpha, \beta, \gamma &\geq 0 \\ \alpha + \beta + \gamma &= 1 \end{aligned}$$

$$\begin{aligned} P - A &= \beta(B - A) + \gamma(C - A) \\ 0 \leq \beta \leq 1, 0 \leq \gamma &\leq 1 \\ \beta + \gamma &\leq 1 \end{aligned}$$

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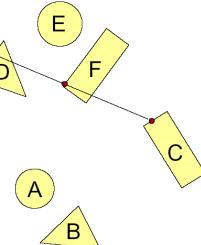
## Other primitives

- Much early work in ray tracing focused on ray-primitive intersection tests
- Cones, cylinders, ellipsoids
- Boxes (especially useful for bounding boxes)
- General planar polygons
- Many more
- Consult chapter in Glassner (handed out) for more details and possible extra credit

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## Ray Scene Intersection

```
Intersection FindIntersection(Ray ray, Scene scene)
{
    min_t = infinity
    min_primitive = NULL
    For each primitive in scene {
        t = Intersect(ray, primitive);
        if (t > 0 && t < min_t) then
            min_primitive = primitive
            min_t = t
    }
    return Intersection(min_t, min_primitive)
}
```



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## Outline

- Camera Ray Casting (choosing ray directions)
- Ray-object intersections
- Ray-tracing transformed objects*
- Lighting calculations
- Recursive ray tracing

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## Transformed Objects

- E.g. transform sphere into ellipsoid
- Could develop routine to trace ellipsoid (compute parameters after transformation)
- May be useful for triangles, since triangle after transformation is still a triangle in any case
- But can also use original optimized routines

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## Ray-Tracing Transformed Objects

We have an optimized ray-sphere test

- But we want to ray trace an ellipsoid...

Solution: Ellipsoid transforms sphere

- Apply inverse transform to ray, use ray-sphere
- Allows for instancing (traffic jam of cars)
- Same idea for other primitives

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## Transformed Objects

- Consider a general 4x4 transform  $M$ 
  - Will need to implement matrix stacks like in OpenGL
- Apply inverse transform  $M^{-1}$  to ray
  - Locations stored and transform in homogeneous coordinates
  - Vectors (ray directions) have homogeneous coordinate set to 0 [so there is no action because of translations]
- Do standard ray-surface intersection as modified
- Transform intersection back to actual coordinates
  - Intersection point  $p$  transforms as  $Mp$
  - Distance to intersection if used may need recalculation
  - Normals  $n$  transform as  $M^{-1}n$ . Do all this before lighting

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## Outline

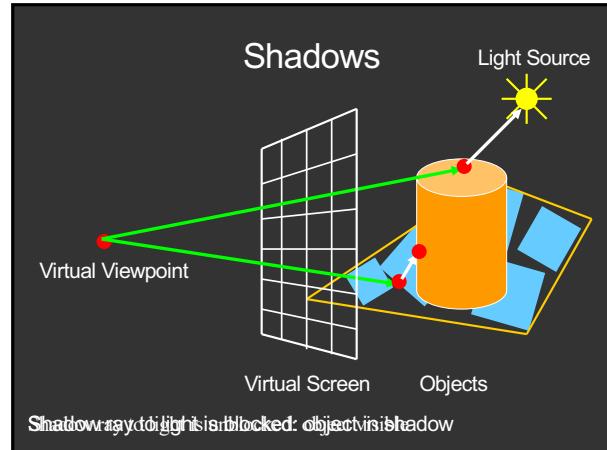
- Camera Ray Casting (choosing ray directions)
- Ray-object intersections
- Ray-tracing transformed objects
- *Lighting calculations*
- Recursive ray tracing

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## Outline in Code

```
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            image[i][j] = FindColor (hit) ;
        }
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}
```

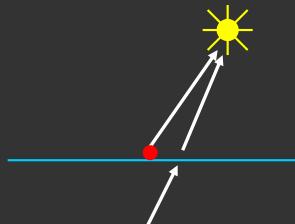
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## Shadows: Numerical Issues

- Numerical inaccuracy may cause intersection to be below surface (effect exaggerated in figure)
- Causing surface to incorrectly shadow itself
- Move a little towards light before shooting shadow ray



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## Lighting Model

- Similar to OpenGL
- Lighting model parameters (global)
  - Ambient r g b
  - Attenuation const linear quadratic
- Per light model parameters
  - Directional light (direction, RGB parameters)
  - Point light (location, RGB parameters)
  - Some differences from HW 2 syntax

$$L = \frac{L_0}{const + lin * d + quad * d^2}$$

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## Material Model

- Diffuse reflectance (r g b)
- Specular reflectance (r g b)
- Shininess s
- Emission (r g b)
- All as in OpenGL

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## Shading Model

$$I = K_a + K_e + \sum_{i=1}^n \textcolor{red}{V} L_i (K_d \max(I_i \cdot n, 0) + K_s (\max(h_i \cdot n, 0))^s)$$

- Global ambient term, emission from material
- For each light, diffuse specular terms
- Note visibility/shadowing for each light (not in OpenGL)
- Evaluated per pixel per light (not per vertex)

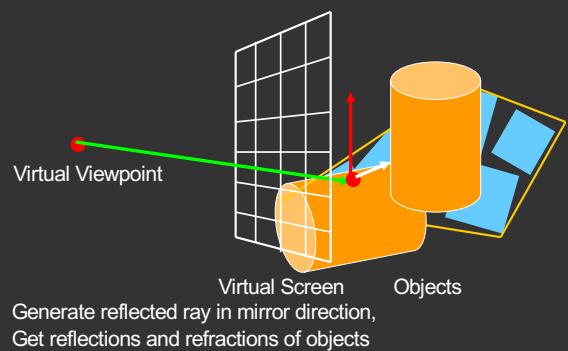
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## Outline

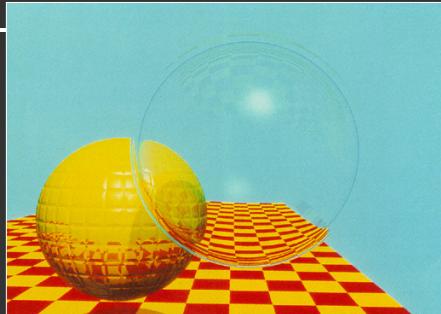
- Camera Ray Casting (choosing ray directions)
- Ray-object intersections
- Ray-tracing transformed objects
- Lighting calculations
- *Recursive ray tracing*

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## Mirror Reflections/Refractions



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Turner Whitted 1980

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## Basic idea

For each pixel

- Trace Primary Eye Ray, find intersection
- Trace Secondary Shadow Ray(s) to all light(s)
  - Color = Visible ? Illumination Model : 0 ;
- Trace Reflected Ray
  - Color += reflectivity \* Color of reflected ray

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## Recursive Shading Model

$$I = K_a + K_e + \sum_{i=1}^n \textcolor{red}{V} L_i (K_d \max(I_i \cdot n, 0) + K_s (\max(h_i \cdot n, 0))^s) + \textcolor{green}{K_s I_R + K_T I_T}$$

- Highlighted terms are recursive specularities [mirror reflections] and transmission (latter is extra credit)
- Trace secondary rays for mirror reflections and refractions, include contribution in lighting model
- GetColor calls RayTrace recursively (the I values in equation above of secondary rays are obtained by recursive calls)

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## Problems with Recursion

- Reflection rays may be traced forever
- Generally, set maximum recursion depth
- Same for transmitted rays (take refraction into account)

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## Effects needed for Realism

- **(Soft) Shadows**
- Reflections (Mirrors and **Glossy**)
- Transparency (Water, Glass)
- **Interreflections (Color Bleeding)**
- **Complex Illumination (Natural, Area Light)**
- **Realistic Materials (Velvet, Paints, Glass)**

Discussed in this lecture so far

Not discussed but possible with distribution ray tracing  
 Hard (but not impossible) with ray tracing; radiosity methods

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## Some basic add ons

- Area light sources and soft shadows: break into grid of  $n \times n$  point lights
  - Use jittering: Randomize direction of shadow ray within small box for given light source direction
  - Jittering also useful for antialiasing shadows when shooting primary rays
- More complex reflectance models
  - Simply update shading model
  - But at present, we can handle only mirror global illumination calculations

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## Acceleration

Testing each object for each ray is slow

- Fewer Rays
  - Adaptive sampling, depth control
- Generalized Rays
  - Beam tracing, cone tracing, pencil tracing etc.
- Faster Intersections
  - Optimized Ray-Object Intersections
  - Fewer Intersections**

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## Acceleration Structures

Bounding boxes (possibly hierarchical)

If no intersection bounding box, needn't check objects

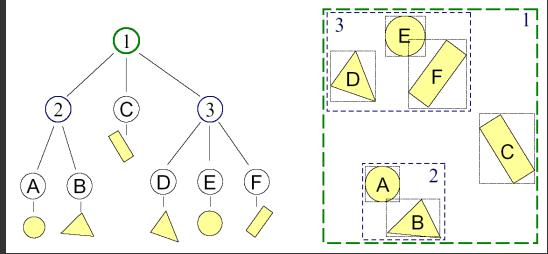


Spatial Hierarchies (Oct-trees, kd trees, BSP trees)

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## Bounding Volume Hierarchies 1

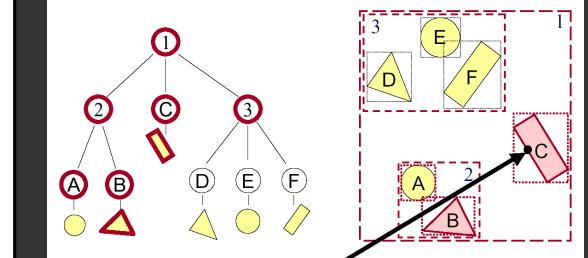
- Build hierarchy of bounding volumes
  - Bounding volume of interior node contains all children



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## Bounding Volume Hierarchies 2

- Use hierarchy to accelerate ray intersections
  - Intersect node contents only if hit bounding volume



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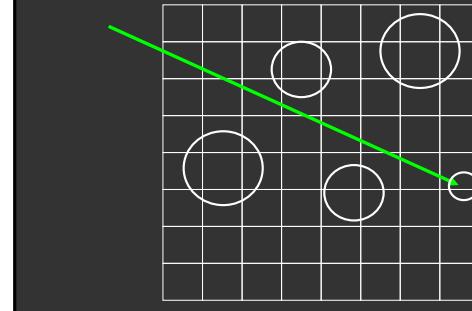
## Bounding Volume Hierarchies 3

- Sort hits & detect early termination

```
FindIntersection(Ray ray, Node node)
{
    // Find intersections with child node bounding volumes
    ...
    // Sort intersections front to back
    ...
    // Process intersections (checking for early termination)
    min_t = infinity;
    for each intersected child i {
        if (min_t < bv_t[i]) break;
        shape_t = FindIntersection(ray, child);
        if (shape_t < min_t) { min_t = shape_t; }
    }
    return min_t;
}
```

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## Acceleration Structures: Grids



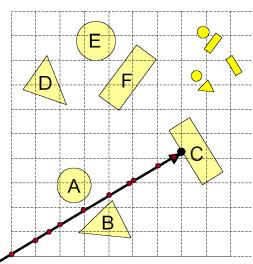
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## Uniform Grid: Problems

- Potential problem:
  - How choose suitable grid resolution?

Too little benefit  
if grid is too coarse

Too much cost  
if grid is too fine

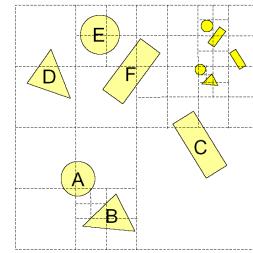


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## Octree

- Construct adaptive grid over scene
  - Recursively subdivide box-shaped cells into 8 octants
  - Index primitives by overlaps with cells

Generally fewer cells

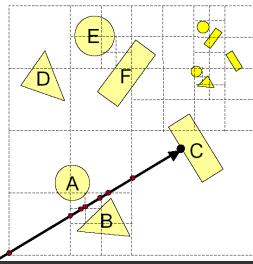


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## Octree traversal

- Trace rays through neighbor cells
  - Fewer cells
  - More complex neighbor finding

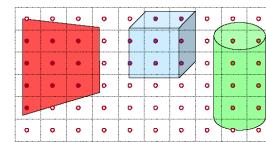
Trade-off fewer cells for  
more expensive traversal



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## Other Accelerations

- Screen space coherence
  - Check last hit first
  - Beam tracing
  - Pencil tracing
  - Cone tracing
- Memory coherence
  - Large scenes
- Parallelism
  - Ray casting is “embarrassingly parallelizable”
- etc.



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## Course Evaluations

- Fill out now, can be done on phone
- Enthusiasm important to future offerings
- Comments useful to future years
- Some key innovations: modern OpenGL, GLSL; feedback servers (including code), UCSD online, ...
- Separately, please also evaluate the TAs

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## Ray Tracing Acceleration Structures

- Bounding Volume Hierarchies (BVH)
- Uniform Spatial Subdivision (Grids)
- Binary Space Partitioning (BSP Trees)
  - Axis-aligned often for ray tracing: kd-trees
- Conceptually simple, implementation a bit tricky
  - Lecture relatively high level: Start early, go to section
  - Remember that acceleration a small part of grade

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## Math of 2D Bounding Box Test

- Can you find a  $t$  in range

$$t > 0$$

$$t_{x_{\min}} \leq t \leq t_{x_{\max}}$$

$$t_{y_{\min}} \leq t \leq t_{y_{\max}}$$

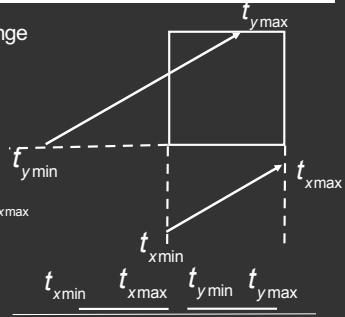
*if*  $t_{x_{\min}} > t_{y_{\max}}$  *OR*  $t_{y_{\min}} > t_{x_{\max}}$

*return false;*

*else*

*return true;*

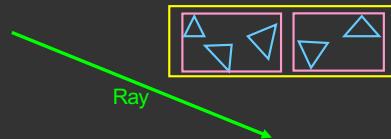
No intersection if x and y ranges don't overlap



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## Bounding Box Test

- Ray-Intersection is simple coordinate check
- Intricacies with test, see book
- Hierarchical Bounding Boxes



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## Hierarchical Bounding Box Test

- If ray hits root box
  - Intersect left subtree
  - Intersect right subtree
  - Merge intersections (find closest one)
- Standard hierarchical traversal
  - But caveat, since bounding boxes may overlap
- At leaf nodes, must intersect objects

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## Creating Bounding Volume Hierarchy

```
function bvh-node::create (object array A, int AXIS)
  N = A.length();
  if (N == 1) {left = A[0]; right = NULL; bbox = bound(A[0]);}
  else if (N == 2) {
    left = A[0]; right = A[1];
    bbox = combine(bound(A[0]),bound(A[1]));
  }
  else
    Find midpoint m of bounding box of A along AXIS
    Partition A into lists of size k and N-k around m
    left = new bvh-node (A[0...k],(AXIS+1) mod 3);
    right = new bvh-node(A[k+1...N-1],(AXIS+1) mod 3);
    bbox = combine (left->bbox, right->bbox);
```

From page 305 of book

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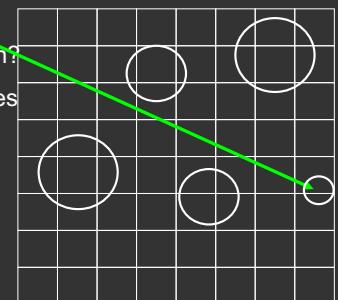
## Uniform Spatial Subdivision

- Different idea: Divide space rather than objects
- In BVH, each object is in one of two sibling nodes
  - A point in space may be inside both nodes
- In spatial subdivision, each space point in one node
  - But object may lie in multiple spatial nodes
- Simplest is uniform grid (have seen this already)
- Challenge is keeping all objects within cell
- And in traversing the grid

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## Traversal of Grid High Level

- Next Intersect Pt?
- Irreg. samp. pattern?
- But regular in planes
- Fast algo. possible
- (more on board)



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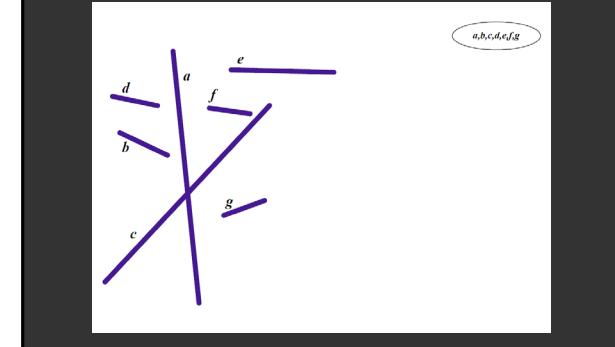
## BSP Trees

- Used for visibility and ray tracing
  - Book considers only axis-aligned splits for ray tracing
  - Sometimes called kd-tree for axis aligned
- Split space (binary space partition) along planes
- Fast queries and back-to-front (painter's) traversal
- Construction is conceptually simple
  - Select a plane as root of the sub-tree
  - Split into two children along this root
  - Random polygon for splitting plane (may need to split polygons that intersect it)

BSP slides courtesy Prof. O'Brien

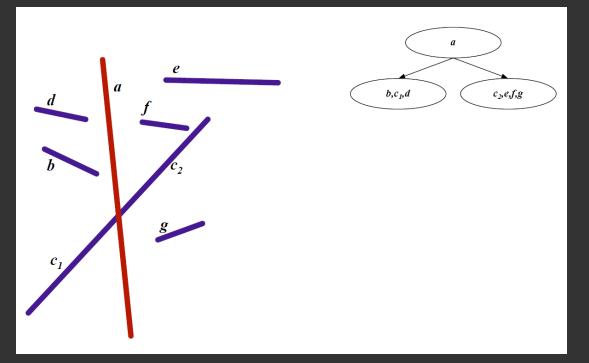
61

## Initial State



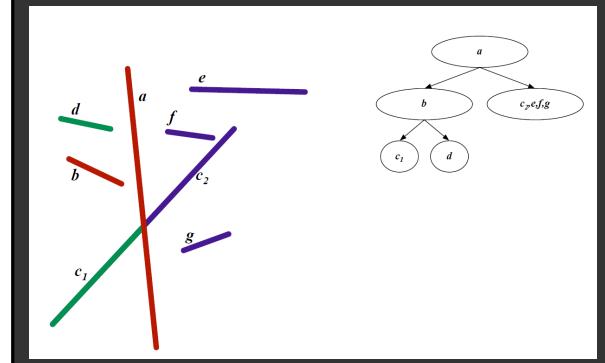
62

## First Split



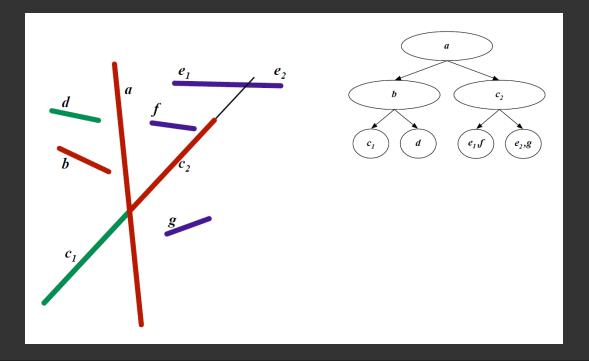
63

## Second Split



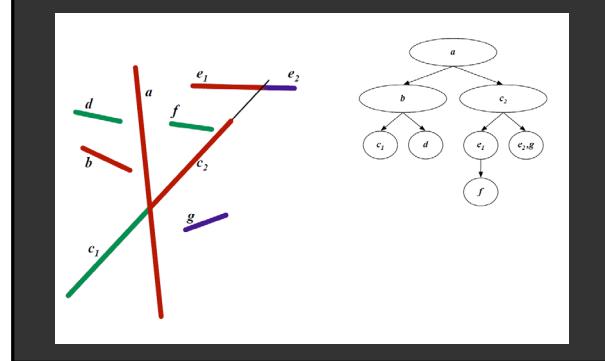
64

## Third Split



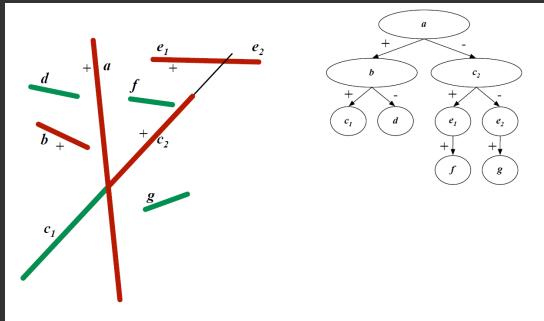
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## Fourth Split



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## Final BSP Tree



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## BSP Trees Cont' d

- Continue splitting until leaf nodes
- Visibility traversal in order
  - Child one
  - Root
  - Child two
- Child one chosen based on viewpoint
  - Same side of sub-tree as viewpoint
- BSP tree built once, used for all viewpoints
  - More details in book
- 168 lectures (UCSD online) more detail re acceln

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## Interactive Raytracing

- Ray tracing historically slow
- Now viable alternative for complex scenes
  - Key is sublinear complexity with acceleration; need not process all triangles in scene
- Allows many effects hard in hardware
- Today graphics hardware and software (NVIDIA Optix 5, RTX chips claim 10G rays per second).
- Tiger Demo: [Video](#)

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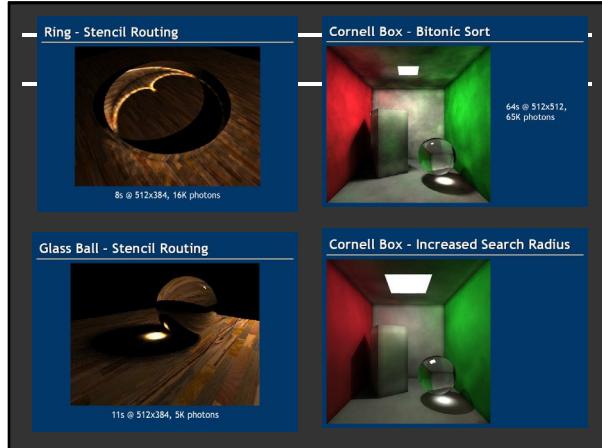
## Raytracing on Graphics Hardware

- Modern Programmable Hardware general streaming architecture
- Can map various elements of ray tracing
- Kernels like eye rays, intersect etc.
- In vertex or fragment programs
- Convergence between hardware, ray tracing

[Purcell et al. 2002, 2003]

<http://graphics.stanford.edu/papers/photongfx>

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## RayTracing 5 Minute Videos

- RT 1: [https://www.youtube.com/watch?v=H5TB2l7za6s&list=PLWfDJ5nla8Up\\_wShx-lzLJcp575fKpsSO&index=13](https://www.youtube.com/watch?v=H5TB2l7za6s&list=PLWfDJ5nla8Up_wShx-lzLJcp575fKpsSO&index=13)
- RT 2: [https://www.youtube.com/watch?v=emTOlvinv-UR&list=PLWfDJ5nla8Up\\_wShx-lzLJcp575fKpsSO&index=14](https://www.youtube.com/watch?v=emTOlvinv-UR&list=PLWfDJ5nla8Up_wShx-lzLJcp575fKpsSO&index=14)
- RT 3: [https://www.youtube.com/watch?v=UhgqCj08I&list=PLWfDJ5nla8Up\\_wShx-lzLJcp575fKpsSO&index=15](https://www.youtube.com/watch?v=UhgqCj08I&list=PLWfDJ5nla8Up_wShx-lzLJcp575fKpsSO&index=15)

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